

**LOCAL WATER UTILITIES ADMINISTRATION (LWUA)**  
**Validated 2019 Performance Scorecard**

| Component            |   |  |   | Target | GOCC Submission          |           | GCG Validation           |              | Supporting Documents   | Remarks   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|----------------------|---|--|---|--------|--------------------------|-----------|--------------------------|--------------|--|---|------|------------|--------|---------|--------|-----------|--------|---------|--------|---------|--------|---------|--------|---------|--------|---------|--------|---------|--------|---------|--------------|------------------|
| Objective/Measure    | Formula   | Wt.  | 2019  | Actual | Rating                   | Score     | Rating                   |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| <b>SOCIAL IMPACT</b> | <b>SO 1</b>   | <b>Expand Coverage and Reliable Water Service at Affordable Rates</b>  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | SM 1  | Households that can be served with increased access to water (Level 3) | Actual number of households served by all WDs | 0%     | 4.8 M                    | 4.762M    | 0%                       | 4.762 M      | 0.00%  | <ul style="list-style-type: none"> <li>Summary of Service Connections by Region</li> </ul> <table border="1" style="margin-left: 20px;"> <thead> <tr> <th>Area</th> <th>HHs served</th> </tr> </thead> <tbody> <tr><td>Area 1</td><td>476,062</td></tr> <tr><td>Area 2</td><td>1,120,053</td></tr> <tr><td>Area 3</td><td>947,182</td></tr> <tr><td>Area 4</td><td>266,053</td></tr> <tr><td>Area 5</td><td>336,454</td></tr> <tr><td>Area 6</td><td>487,644</td></tr> <tr><td>Area 7</td><td>358,005</td></tr> <tr><td>Area 8</td><td>562,604</td></tr> <tr><td>Area 9</td><td>207,768</td></tr> <tr><td><b>Total</b></td><td><b>4,761,825</b></td></tr> </tbody> </table> <p>The actual number of households served per area is as follows:</p> | Area | HHs served | Area 1 | 476,062 | Area 2 | 1,120,053 | Area 3 | 947,182 | Area 4 | 266,053 | Area 5 | 336,454 | Area 6 | 487,644 | Area 7 | 358,005 | Area 8 | 562,604 | Area 9 | 207,768 | <b>Total</b> | <b>4,761,825</b> |
|                      | Area  | HHs served   |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 1  | 476,062  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 2  | 1,120,053  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 3  | 947,182  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 4  | 266,053  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 5  | 336,454  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 6  | 487,644  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
|                      | Area 7  | 358,005  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| Area 8               | 562,604   |  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| Area 9               | 207,768   |  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| <b>Total</b>         | <b>4,761,825</b>  |  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| <i>Sub-total</i>     |   |  | <i>0%</i>                                     |        |                          | <i>0%</i> |                          | <i>0.00%</i> |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| <b>SO 2</b>          | <b>Provide Adequate Sanitation</b>  |  |   |        |                          |           |                          |              |  |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| SM 2                 | Number of non-operational WDs to be operationalized                         | Absolute number  | 4%  | 6      | 0% (0/6)                 | 0%        | 0% (0/6)                 | 0.00%        | <ul style="list-style-type: none"> <li>List of Operational and Non-operational WDs as of 31 Dec 2019</li> </ul> <p>Of the six (6) targets, two (2) are already in the construction phase, while the remaining four (4) are still in the documentation phase.</p> |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |
| SM 3                 | Percent of water-served population offered sanitation services (in WDs with | No. of water served population provided access to basic sanitation /   | 10%   | 12%    | 37.92% (225,774/595,370) | 10%       | 37.92% (225,774/595,370) | 10.00%       | <ul style="list-style-type: none"> <li>WDs with Septage Management Program</li> </ul> <p>For 2019, only the municipalities of Baliwag and San Jose del Monte (both in Bulacan) have septage management facilities within the Manila Bay area.</p>                |   |      |            |        |         |        |           |        |         |        |         |        |         |        |         |        |         |        |         |        |         |              |                  |

| Component                     |  |   |   | Target | GOCC Submission |                  | GCG Validation  |                  | Supporting Documents  | Remarks   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
|-------------------------------|--|---|---|--------|-----------------|------------------|-----------------|------------------|---|---|------------|----|------|----|---|----|---|----|---|--------------|-----------|----|---|----|---|----|---|----|---|----|---|----|--------------|------------|
| Objective/Measure             |  | Formula   | Wt.   | 2019   | Actual          | Rating           | Score           | Rating           |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
|                               | Septage Management Program within the Manila Bay Area) | Total water served population   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| <i>Sub-total</i>              |  |   | 14%   |        |                 | 10.00%           |                 | 10.00%           |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| <b>CUSTOMERS/STAKEHOLDERS</b> | <b>SO 3</b>  | <b>Ensure Reliable and Economically Viable Water Districts</b>                                  |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
|                               | SM 4   | Percentage of Operational WDs with at least 90% collection efficiency                           | No. of WDs with at least 90% Collection Efficiency / No. of operational WDs | 5%     | 75.57%          | 70.08% (321/458) | 4.64%           | 70.08% (321/458) | 4.64%   | <ul style="list-style-type: none"> <li>Water District Accomplishment Checklist and Evaluation</li> <li>Summary Reports</li> </ul> <p>The breakdown of accomplishment is as follows:</p> <table border="1" style="border-collapse: collapse; text-align: center;"> <thead> <tr> <th>Area</th> <th>WD</th> <th>Area</th> <th>WD</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>55</td> <td>6</td> <td>29</td> </tr> <tr> <td>2</td> <td>47</td> <td>7</td> <td>31</td> </tr> <tr> <td>3</td> <td>45</td> <td>8</td> <td>29</td> </tr> <tr> <td>4</td> <td>28</td> <td>9</td> <td>16</td> </tr> <tr> <td>5</td> <td>41</td> <td><b>Total</b></td> <td><b>321</b></td> </tr> </tbody> </table> | Area       | WD | Area | WD | 1 | 55 | 6 | 29 | 2 | 47           | 7         | 31 | 3 | 45 | 8 | 29 | 4 | 28 | 9 | 16 | 5 | 41 | <b>Total</b> | <b>321</b> |
|                               | Area   | WD  | Area  | WD     |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 1                             | 55   | 6   | 29  |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 2                             | 47   | 7   | 31  |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 3                             | 45   | 8   | 29  |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 4                             | 28   | 9   | 16  |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 5                             | 41   | <b>Total</b>  | <b>321</b>  |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| SM 5                          | Percentage of WDs with completed NRW Reduction Program | No. of WDs with completed NRW Reduction Program / No. of operational WDs with more than 30% NRW | 5%  | 20%    | 20.32% (25/123) | 5.00%            | 20.32% (25/123) | 5.00%            | <ul style="list-style-type: none"> <li>Water District Database from Water District Development Division</li> <li>Monthly Data Sheet of WDS</li> </ul> <p>Breakdown is as follows:</p> <table border="1" style="border-collapse: collapse; text-align: center;"> <thead> <tr> <th>UDEV</th> <th>No. of WDs</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>6</td> </tr> <tr> <td>2</td> <td>6</td> </tr> <tr> <td>3</td> <td>7</td> </tr> <tr> <td>4</td> <td>6</td> </tr> <tr> <td><b>Total</b></td> <td><b>25</b></td> </tr> </tbody> </table> | UDEV  | No. of WDs | 1  | 6    | 2  | 6 | 3  | 7 | 4  | 6 | <b>Total</b> | <b>25</b> |    |   |    |   |    |   |    |   |    |   |    |              |            |
| UDEV                          | No. of WDs   |   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 1                             | 6  |   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 2                             | 6  |   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 3                             | 7  |   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| 4                             | 6  |   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |
| <b>Total</b>                  | <b>25</b>  |   |   |        |                 |                  |                 |                  |   |   |            |    |      |    |   |    |   |    |   |              |           |    |   |    |   |    |   |    |   |    |   |    |              |            |

| Component              |   |  |  | Target             | GOCC Submission      |                  | GCG Validation       |                  | Supporting Documents   | Remarks  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
|------------------------|---|--|--|--------------------|----------------------|------------------|----------------------|------------------|--|--|------|------|------|----|----|----|---|----|----|----|---|----|----|----|---|----|----|----|---|----|---|--------------|--------------|------------|
| Objective/Measure      |   | Formula  | Wt.  | 2019               | Actual               | Rating           | Score                | Rating           |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| CUSTOMERS/STAKEHOLDERS | SM 6  | Percentage of Operational WDs compliant with PNSDW (bacteriological test, physical, chemical test) | PNSDW compliant operational WD / No. of operational WD with access to laboratories | 5%                 | 69.14% (354/512)     | 71.09% (364/512) | 5.00%                | 71.09% (364/512) | 5.00%  | <ul style="list-style-type: none"> <li>Water District Database from Water District Development Division</li> <li>Submission from WDs</li> </ul> <p>Breakdown of the accomplishment is as follows:</p> <table border="1"> <thead> <tr> <th>Area</th> <th>WD</th> <th>Area</th> <th>WD</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>55</td> <td>6</td> <td>22</td> </tr> <tr> <td>2</td> <td>62</td> <td>7</td> <td>26</td> </tr> <tr> <td>3</td> <td>69</td> <td>8</td> <td>28</td> </tr> <tr> <td>4</td> <td>41</td> <td>9</td> <td>20</td> </tr> <tr> <td>5</td> <td>41</td> <td><b>Total</b></td> <td><b>364</b></td> </tr> </tbody> </table> | Area | WD   | Area | WD | 1  | 55 | 6 | 22 | 2  | 62 | 7 | 26 | 3  | 69 | 8 | 28 | 4  | 41 | 9 | 20 | 5 | 41           | <b>Total</b> | <b>364</b> |
|                        | Area  | WD   | Area   | WD                 |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
|                        | 1   | 55   | 6  | 22                 |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
|                        | 2   | 62   | 7  | 26                 |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 3                      | 69  | 8  | 28   |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 4                      | 41  | 9  | 20   |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 5                      | 41  | <b>Total</b>   | <b>364</b>   |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| SO 4                   | Institutionalize Good Governance in Water Districts   |  |  |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| SM 7                   | Percentage of WDs compliant with good governance conditions (Citizens Charter, FOI, APP, COA, Financial Reports, Aging of Cash Advance) | No. of Compliant WDs / No. of operational WD (less JV)   | 5%   | 33.33% (161/483)   | 25% (114/456)        | 3.75%            | 24.89% (114/458)     | 3.73%            | <ul style="list-style-type: none"> <li>WD PBB Authorization Checklist and Evaluation and RBPMS</li> <li>Area Summary Reports</li> </ul> <p>The breakdown is as follows:</p> <table border="1"> <thead> <tr> <th>Area</th> <th>WD</th> <th>Area</th> <th>WD</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>30</td> <td>6</td> <td>0</td> </tr> <tr> <td>2</td> <td>28</td> <td>7</td> <td>8</td> </tr> <tr> <td>3</td> <td>12</td> <td>8</td> <td>9</td> </tr> <tr> <td>4</td> <td>12</td> <td>9</td> <td>7</td> </tr> <tr> <td>5</td> <td>8</td> <td><b>Total</b></td> <td><b>114</b></td> </tr> </tbody> </table> <p>The denominator used is 458 as indicated in the supporting documents.</p> | Area   | WD   | Area | WD   | 1  | 30 | 6  | 0 | 2  | 28 | 7  | 8 | 3  | 12 | 8  | 9 | 4  | 12 | 9  | 7 | 5  | 8 | <b>Total</b> | <b>114</b>   |            |
| Area                   | WD  | Area   | WD   |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 1                      | 30  | 6  | 0  |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 2                      | 28  | 7  | 8  |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 3                      | 12  | 8  | 9  |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 4                      | 12  | 9  | 7  |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| 5                      | 8   | <b>Total</b>   | <b>114</b>   |                    |                      |                  |                      |                  |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| SM 8                   | Percentage of Satisfied Customers   | Number of respondents which gave at least a Satisfactory rating / Total number of respondents      | 5%   | 90% of respondents | Survey not conducted | 0%               | Survey not conducted | 0%               | <ul style="list-style-type: none"> <li>Letters between LWUA and GCG</li> <li>LWUA Memorandum to Administrator to forgo CSS</li> </ul> <p>LWUA failed to conduct its CSS for 2019. Based on the LWUA Memorandum to the Administrator dated 18 October 2019, LWUA is to forego the conduct of the CSS since it will not be able to comply with the deadline, and because of the cost-benefit implications.</p>   |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |
| <i>Sub-total</i>       |   |  | 25%  |                    |                      | 18.39%           |                      | 18.37%           |  |  |      |      |      |    |    |    |   |    |    |    |   |    |    |    |   |    |    |    |   |    |   |              |              |            |

| Component                              |   |  |                 | Target | GOCC Submission            |            | GCG Validation             |  | Supporting Documents  | Remarks   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
|--|---|--|-----------------|--------|----------------------------|------------|----------------------------|--|---|---|---|------------------|-----------------|----------------|---------------|-----------------------|-----------------------|------------------|----------|----------------|--------------|-----------------------|
| Objective/Measure                      | Formula   | Wt.  | 2019            | Actual | Rating                     | Score      | Rating                     |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| <b>SO 5</b>                            | <b>Ensure the Financial Viability and Sustainability of LWUA Operations</b> |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| FINANCE                                | SM 9  | Recovery of non-performing loans               |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
|  |   | a. Cash Collection                             | Actual amount   | 5%     | ₱150 M                     | ₱165.237 M | 5.00%                      | ₱165.237 M   | 5.00%   | <ul style="list-style-type: none"> <li>Receivable and Collection Report (Non-Performing Loans)</li> <li>BOT Resolutions</li> </ul>  | The breakdown for cash collection is as follows: <table border="1"> <tr> <td>Principal</td> <td>₱ 73,364,197.90</td> </tr> <tr> <td>Interest</td> <td>91,872,905.06</td> </tr> <tr> <td><b>Total</b></td> <td><b>165,237,101.96</b></td> </tr> </table> | Principal        | ₱ 73,364,197.90 | Interest       | 91,872,905.06 | <b>Total</b>          | <b>165,237,101.96</b> |                  |          |                |              |                       |
|  |   | Principal                                      | ₱ 73,364,197.90 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Interest                               | 91,872,905.06   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| <b>Total</b>                           | <b>165,237,101.96</b>   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| b. No. of WDs granted with Debt Relief | Actual number of WDs  | 3%   | 8               | 4      | 1.50%                      | 4          | 1.50%                      | <ul style="list-style-type: none"> <li>Receivable and Collection Report (Non-Performing Loans)</li> <li>BOT Resolutions</li> </ul> | The WDs granted debt relief are as follows: <table border="1"> <tr> <td>Gen. Macarthur</td> <td>Reso No. 47</td> </tr> <tr> <td>Dumangas</td> <td>Reso No. 67</td> </tr> <tr> <td>Januay,</td> <td>Reso No. 34</td> </tr> <tr> <td>Toledo City</td> <td>Reso No. 33</td> </tr> </table> | Gen. Macarthur  | Reso No. 47   | Dumangas         | Reso No. 67     | Januay,        | Reso No. 34   | Toledo City           | Reso No. 33           |                  |          |                |              |                       |
| Gen. Macarthur                         | Reso No. 47   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Dumangas                               | Reso No. 67   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Januay,                                | Reso No. 34   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Toledo City                            | Reso No. 33   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| SM 10                                  | Collection Efficiency Ratio (Performing Loan)                               | Total Collections (PLs) / Total Billings (PLs) | 12%             | 95%    | 97.90% (776.832M/793.501M) | 12.00%     | 97.90% (776.832M/793.501M) | 12.00%   | <ul style="list-style-type: none"> <li>Loan Collection Schedule</li> </ul>  | The breakdown for billings and collections are as follows: <p><b>Billing</b></p> <table border="1"> <tr> <td>Principal</td> <td>₱ 492,392,753.01</td> </tr> <tr> <td>Interest</td> <td>301,108,587.18</td> </tr> <tr> <td><b>Total</b></td> <td><b>793,501,340.19</b></td> </tr> </table> <p><b>Collection</b></p> <table border="1"> <tr> <td>Principal</td> <td>₱ 479,947,476.00</td> </tr> <tr> <td>Interest</td> <td>296,884,211.57</td> </tr> <tr> <td><b>Total</b></td> <td><b>776,831,687.57</b></td> </tr> </table> | Principal   | ₱ 492,392,753.01 | Interest        | 301,108,587.18 | <b>Total</b>  | <b>793,501,340.19</b> | Principal             | ₱ 479,947,476.00 | Interest | 296,884,211.57 | <b>Total</b> | <b>776,831,687.57</b> |
| Principal                              | ₱ 492,392,753.01  |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Interest                               | 301,108,587.18  |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| <b>Total</b>                           | <b>793,501,340.19</b>   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Principal                              | ₱ 479,947,476.00  |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| Interest                               | 296,884,211.57  |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |
| <b>Total</b>                           | <b>776,831,687.57</b>   |  |                 |        |                            |            |                            |  |   |   |   |                  |                 |                |               |                       |                       |                  |          |                |              |                       |

| Component         |   |  |  | Target              | GOCC Submission     |                  | GCG Validation      |                 | Supporting Documents  | Remarks  |  |                |             |               |              |                       |                    |
|-------------------|---|--|--|---------------------|---------------------|------------------|---------------------|-----------------|---|--|--|----------------|-------------|---------------|--------------|-----------------------|--------------------|
| Objective/Measure |   | Formula  | Wt.  | 2019                | Actual              | Rating           | Score               | Rating          |   |  |  |                |             |               |              |                       |                    |
| FINANCE           | SM 11   | Settlement of dividends in arrears   | Milestone  | 2%                  | ₱284 M              | ₱284 M           | 2.00%               | ₱284 M          | 2.00%   | <ul style="list-style-type: none"> <li>Official Receipt from BTr</li> <li>Dividend Schedule</li> </ul>   | Dividend payments were made as follows: <table border="1"> <tr> <td>20 March 2019</td> <td>140,000,000</td> </tr> <tr> <td>09 July 2019</td> <td>143,996,770</td> </tr> <tr> <td><b>Total</b></td> <td><b>283,996,770</b></td> </tr> </table>  | 20 March 2019  | 140,000,000 | 09 July 2019  | 143,996,770  | <b>Total</b>          | <b>283,996,770</b> |
|                   | 20 March 2019   | 140,000,000  |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
|                   | 09 July 2019  | 143,996,770  |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
| <b>Total</b>      | <b>283,996,770</b>  |  |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
| SM 12             | Percentage of payment of LWUA's loans payable – current portion | Payment / Loans Payable  | 3%   | 100%<br>(461M/461M) | 100%<br>(409M/409M) | 3.00%            | 100%<br>(409M/409M) | 3.00%           | <ul style="list-style-type: none"> <li>Schedule of Payments – Loans Payable</li> <li>Official Receipts</li> </ul> | The breakdown of accomplishment is as follows: <table border="1"> <tr> <td>Principal</td> <td>356,951,808.08</td> </tr> <tr> <td>Losses</td> <td>52,246,937.68</td> </tr> <tr> <td><b>Total</b></td> <td><b>409,198,745.76</b></td> </tr> </table> <p>The variance of ₱52 Million represents foreign exchange difference between the date of recording and the date of settlement as both loan payables are denominated in various foreign currency.</p> | Principal  | 356,951,808.08 | Losses      | 52,246,937.68 | <b>Total</b> | <b>409,198,745.76</b> |                    |
| Principal         | 356,951,808.08  |  |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
| Losses            | 52,246,937.68   |  |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
| <b>Total</b>      | <b>409,198,745.76</b>   |  |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
| <i>Sub-total</i>  |   |  | 25%  |                     |                     | 23.50%           |                     | 23.50%          |   |  |  |                |             |               |              |                       |                    |
| INTERNAL PROCESS  | SO 6  | Ensure Competent Delivery of Financial, Technical and Institutional Development Assistance |  |                     |                     |                  |                     |                 |   |  |  |                |             |               |              |                       |                    |
|                   | SM 13   | a. Started during the year (single-year projects)  | Projects (with NCA) completed / Project with NCA | 3%                  | 100%                | 15.38%<br>(2/13) | 0.46%               | 2.94%<br>(2/68) | 0.09%   | <ul style="list-style-type: none"> <li>Certificates of Project Completion (All projects with NCA)</li> </ul>   | Only the projects in Cordon, Isabela and Guiuan, Samar were completed during the year. LWUA indicated that only 13 projects were to be considered as denominator for the year; however, it failed to provide sufficient justification and corroborating documents for the exclusion of the other projects. |                |             |               |              |                       |                    |

| Component         |                       |   |  | Target | GOCC Submission |                         | GCG Validation |                         | Supporting Documents | Remarks  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
|-------------------|-----------------------|---|--|--------|-----------------|-------------------------|----------------|-------------------------|----------------------|--|---|---------|--------------|---------|---------------|---------|---------------|---------|---------------|---------|---------------|---------|---------------|-------------|---------------|----------|----------------|----------|---------------|-------------|----------------|--------------|
| Objective/Measure |                       | Formula   | Wt.  | 2019   | Actual          | Rating                  | Score          | Rating                  |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| INTERNAL PROCESS  |                       | b. Carry-over projects from previous year (multi-year projects) | Projects (with NCA) completed / Project with NCA   | 3%     | 100%            | 50.0% (16/32)           | 1.50%          | 22% (12/50)             | 0.72%                | <ul style="list-style-type: none"> <li>Certificates of Project Completion (All projects with NCA)</li> </ul> | Out of the 16 projects reported as complete, only 12 were supported with completion reports. Furthermore, the remaining projects which LWUA removed in its denominator were not supported with sufficient justification and corroborating documents.  |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
|                   | SM 14                 | Conduct of Sanitation Feasibility Studies                       | Percentage of sanitation FS completed  | 10%    | 100% (52 WDs)   | Ongoing FS (52 WDs)     | 0.00%          | Ongoing FS (52 WDs)     | 0.00%                | <ul style="list-style-type: none"> <li>Notice to Proceed (NTP)</li> </ul>                                    | The Notice to Proceed (NTP) was only issued to the contractor on 30 September 2019. No further justification was provided by LWUA.  |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
|                   | SM 15                 | a. Carry-over   | Total Funds Disbursed / Total Funds Available and Obligated at the beginning of the year | 6%     | 100%            | 46.89% (0.791B/ 1.687B) | 2.81%          | 46.89% (0.791B/ 1.687B) | 2.81%                | <ul style="list-style-type: none"> <li>Project Fund Utilization Schedule</li> </ul>                          | Breakdown of the accomplishment is as follows: <table border="1" style="margin-left: 20px;"> <tr><td>NG 2009</td><td>5,704,048.06</td></tr> <tr><td>NG 2012</td><td>59,685,819.80</td></tr> <tr><td>NG 2014</td><td>54,741,405.15</td></tr> <tr><td>NG 2016</td><td>41,863,038.41</td></tr> <tr><td>NG 2017</td><td>47,270,051.44</td></tr> <tr><td>NG 2018</td><td>18,054,670.71</td></tr> <tr><td>NHA-Yolanda</td><td>69,240,021.60</td></tr> <tr><td>NHA 2015</td><td>137,617,030.84</td></tr> <tr><td>NHA 2017</td><td>17,254,368.94</td></tr> <tr><td>NDRRMF 2016</td><td>339,704,166.77</td></tr> <tr><td><b>Total</b></td><td><b>791,134,621.72</b></td></tr> </table> | NG 2009 | 5,704,048.06 | NG 2012 | 59,685,819.80 | NG 2014 | 54,741,405.15 | NG 2016 | 41,863,038.41 | NG 2017 | 47,270,051.44 | NG 2018 | 18,054,670.71 | NHA-Yolanda | 69,240,021.60 | NHA 2015 | 137,617,030.84 | NHA 2017 | 17,254,368.94 | NDRRMF 2016 | 339,704,166.77 | <b>Total</b> |
| NG 2009           | 5,704,048.06          |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NG 2012           | 59,685,819.80         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NG 2014           | 54,741,405.15         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NG 2016           | 41,863,038.41         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NG 2017           | 47,270,051.44         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NG 2018           | 18,054,670.71         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NHA-Yolanda       | 69,240,021.60         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NHA 2015          | 137,617,030.84        |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NHA 2017          | 17,254,368.94         |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| NDRRMF 2016       | 339,704,166.77        |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |
| <b>Total</b>      | <b>791,134,621.72</b> |   |  |        |                 |                         |                |                         |                      |  |   |         |              |         |               |         |               |         |               |         |               |         |               |             |               |          |                |          |               |             |                |              |

| Component           |   | Target   | GOCC Submission |                  | GCG Validation            |   | Supporting Documents | Remarks                                   |  |   |   |
|---------------------|---|--|-----------------|------------------|---------------------------|---|----------------------|---|--|---|---|
|                     |   |  | 2019            | Actual           | Rating                    | Score   |                      |   | Rating   |   |   |
| Objective/Measure   | Formula   | Wt.  | 2019            | Actual           | Rating                    | Score   | Rating               |   |  |   |   |
| INTERNAL PROCESS    | b. Current  | Cash Disbursed / Total Cash Program                    | 4%              | 100% (325M/325M) | 0% (0.365)                | 0.00%   | 0% (0.365)           | 0.00%                                     | <ul style="list-style-type: none"> <li>Project Fund Utilization Schedule</li> <li>Project Monitoring Report</li> </ul> | The fund was transferred to LWUA on 07 October 2019 through SARO-BMB-C-19-0014631. However, as of yearend, the same was left unutilized, without the corresponding justification as to failure to disburse. |   |
|                     | <i>Sub-total</i>  |  | <i>26%</i>      |                  |                           | <i>4.77%</i>  |                      | <i>3.62%</i>                              |  |   |   |
| LEARNING AND GROWTH | <b>SO 7 Develop a Competent and Efficient Workforce of Dedicated Civil Servants</b> |  |                 |                  |                           |   |                      |   |  |   |   |
|                     | SM 16   | ISO 9001:2015 Certification                            | Milestone       | 5%               | Passed Surveillance Audit | Conducted the First Surveillance Audit on December 17, 2019                     | 5.00%                | Recommended for Certification Maintenance | 5.00%  | <ul style="list-style-type: none"> <li>Audit Report</li> </ul>  | LWUA had undergone the surveillance audit on 17 December 2019, wherein no Non-Conformities (NCs) were raised. The auditor, through the Audit Report, recommended the "Certification Maintenance" of LWUA's ISO Certification. |
|                     | SM 17   | Percentage of employees with required competencies met | Milestone       | 5%               | Establish Baseline        | Waiting for CSC-CSI confirmation on requirements re: agency mode of procurement | 0.00%                | No Competency Framework                   | 0.00%  | <ul style="list-style-type: none"> <li>Memorandum to Administrator on Competency Manual and Model</li> </ul>  | LWUA is still on the process of procuring a third-party consultant to craft the competency framework.   |
|                     | <i>Sub-total</i>  |  | <i>10%</i>      |                  |                           |   | <i>5.00%</i>         |   | <i>5.00%</i>   |   |   |
| <b>TOTAL</b>        |   | <b>100%</b>  |                 |                  |                           | <b>61.66%</b>   |                      | <b>60.49%</b>                             |  |   |   |