CIVIL AVIATION AUTHORITY OF THE PHILIPPINES (CAAP) Validated 2023 Performance Scorecard

	Objective/Measure	Components				GOCC Subr	nission	GCG Valid	lation	Supporting	Remarks
Object	ive/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents	及交通的限制
SO 1	Standard Aviatio	on Safety and S	ecurity								
	Ensure Standard Av	viation Safety and	Security								
SM 1	a. Effective Implementation Score - Universal Safety Oversight Audit Programme (USOAP)	Actual Accomplishment	10%	All or Nothing	Higher than Global Average	CAAP's Overall El: 68.99% Global El: 69.02%	0.00%	CAAP's Overall El: 68.99% Global El: 69.02%		- State Safety Briefing (SSB) Report for the Philippines in Regional Aviation Safety Groups - Asia Pacific (RASG-APAC) [automatically Generated by ICAO/ANB] accessed by CAAP on 20 December 2023.	The global average, which varies monthly, was recorded at 69.02% December 2023. The Civil Aviation Authority of the Philippines (CAAI) had an El score of 70.52% since 2018. However, due to the integration of Protocol Question (PQs), this score was adjusted 68.99% in December 2023. CAAI has raised concerns regarding that target set at "Higher than Global Average" with an All or Nothing rating scale, arguing that it inequitable as improvements main various Critical Areas are recognized unless verified by International Civil Aviation Organization (ICAO) University Safety Oversight Audit Programm (USOAP) Audits in not consistent a relies on requests from States ICAO. It is essential to acknowledge the revision of Protocol Question has led to CAAP's El score fallibelow the global average. Desp CAAP's diligent efforts to improve the numerical rating will not updated until an ICAO audit conducted. The inability of CAAP to meet the target is deemed beyond its contrastributed to the absence of ICAO audit—a decision mas solely by the ICAO—and revisions to the Protocol Question within the USOAP, which has

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Validated 2023 Performance Scorecard

	bjective/Measure	Components	NAME OF			GOCC Subm	ission	GCG Valida	tion	Supporting	Remarks
Objecti	ive/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents	
				Cystem							resulted in a score below the glo average. Measure is excluded.
	b. State Safety Program (SSP) Implementation level	Actual Accomplishment	5%	All or Nothing	Level 3: Implementation of Gap analysis (Improvement from 2022)	Level 3: 45.2%	5.00%	2023: Level 3 at 45.2% 2022: Level 3 at 16.7%	5.00%	- State Safety Briefing (SSB) Report for the Philippines in Regional Aviation Safety Groups - Asia Pacific (RASG-APAC) [automatically Generated by ICAO/ANB] accessed by CAAP on 20 December 2023.	To achieve the goal enhancing the Simplementation level 2023, CAAP undertool series of continu updates to the gap analy throughout the year. Ereview led to subseque updates, with the flupdate completed December 2023. Whenea gap was address CAAP conducted evaluation to quantify results based on overprogress. Based on the report, Philippines is at Lever States having defined action plan for all implemented question to the progress is at 45.2% which is increase from the 2 yearend progress rate 16.7%. CAAP accomplished its target the year.

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		Components				GOCC Subi	mission	GCG Validat	tion	Supporting	Remarks
Objectiv	ve/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents	
	c. Absence of Significant Safety Concerns (SSCs) under the USOAP Continuous Monitoring Approach (CMA)	Actual Accomplishment	10%	All or Nothing	0 SSCs	0 SSCs	10.00%	0 SSCs	10.00%		CAAP maintained zero (0) SSCs in 2023, meeting the target. This indicates that CAAP continues to provide sufficient safety oversight to ensure the effective implementation of ICAC standards.
		Subtotal	25%				15.00%		15.00%		
BALL STREET			A SECTION	SALANGIA SET							
SO 2	Provide Reliable	Service to Stak	keholde	rs							
SO 2 SM 2	Percentage of Satis		ceholde	rs							
		sfied Customers	seholde 3%	rs	90%			Non-Compliant		- Email dated 6 June 2024	ARTA's remark on CAAP's Clien Satisfaction Measurement (CSM Report confirms the submission of the CSM only on 02 May 2024.
	Percentage of Satis	Number of Respondents who gave at			90%			Non-Compliant with the guidelines of ARTA-GCG		6 June 2024 from ARTA regarding the compliance	Satisfaction Measurement (CSN Report confirms the submission of
	Percentage of Satis a. Passengers b. Local Airport	Sfied Customers Number of Respondents	3%	Actual / Target		79.40%	3.80%	with the guidelines of	0.00%	6 June 2024 from ARTA regarding the	Satisfaction Measurement (CSN Report confirms the submission of the CSM only on 02 May 2024, may be recalled that the deadlin for GOCCs to submit their 202

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		Components	THE STATE OF THE S			GOCC Subm	ission	GCG Valida	tion	Supporting	Rema	rks
Objecti	ve/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents		
											Items	Amount
											Business Revenue	200,384,768
		Business								COA-Audited	Service Revenue	9,917,521,53 2
		Revenue +								2023 Financial	Interest Income	192,835,661
SM 3	Gross Income	Service Revenue +	10%	Actual / Target	₱8.568 Billion	₱10.30 Billion	10.00%	₱10.311 Billion	10.00%	Statements (FS) and Notes to FS of	2023 Gross Income	10,310,741,9 61
		Interest Income								CAAP	CAAP reported a P10.31 billion for 3 surpasses the al P1.74 billion, variance of 20.3 target.	2023. This figure nnual target by representing a
											Items	Amount
										COA-Audited	Net Loss After Tax (but before subsidy and Other Comprehensive Income)	1,307,512,458
	Earnings Before Interest, Taxes,	Net Income + Interest +								2023 Financial Statements	Income Taxes	406,712,085
SM 4	Depreciation and	Taxes +	10%	Actual / Target	₱2.291 Billion	₱ 4.602 Billion	10.00%	₱4.170 Billion	10.00%	(FS) and	Depreciation	2,453,991,857
	Amortization	Depreciation +		Target						Notes to FS of	Amortization	2,065,408
	(EBITDA)	Amortization			the state of the state of					CAAP	2022 Gross Income	4,170,281,808
								4.00			CAAP surpassed year with a accomplishment more than the tar	2023 EBITD

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	ective/Measure	Components				GOCC Sub	mission	GCG Valid	lation	Supporting	Rema	arks
Objec	ctive/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents		
			AC IL STORY	Cystom		- Alderbridge -					Item	2023
	- CAA Cubaidiaa	Amount							4.00%		Total Subsidy Allotment	100,000,000
	a. GAA Subsidies – amounts	Obligated / GAA Subsidy	4%	Actual / Target	90%	100%	4.00%	100%	4.00%		Obligated Amount	100,000,000
	obligated	(Both net of PS Cost)		Turget							Disbursed Amount	100,000,000
		,								- Statement of	Obligation Rate	100%
										Appropriations, Allotments.	Disbursement Rate	100%
	b. GAA Subsidies – amounts disbursed	Amount Disbursed / Total Obligated (Both net of PS Cost)	4%	Actual / Target	90%	99.78%	4.00%	100%	4.00%	Obligations, Disbursements, and Balances as of the Quarter Ending 31 December 2023	CAAP exceeded for SM 5a and 5b disbursing the P allotment received government in 2 validation at the C it was noted the CAAP to spend subsidy for MOO more readily avail disburse compare subsidy intended programs.	by obligating a 100 million to i from the natio 023. During AAP Head Offi at DBM allow the P100 mill E which madiable for CAAFed to government
										- DBM-	2023 Utilizati Fori Item	on as per DBI m 706 DBM- Approve
										Approved 2023	MOOE	3,806,666,8
	The section of	Amount								Corporate Operating	со	1,143,988,
	c. Corporate Funds – CO &	Disbursed / Scheduled	5%	Actual /	90%	91%	5.00%	90.61%	5.00%	Budget of	Total	4,950,655,
	MOOE	disbursement (Both net of		Target	1650 (1550,000)					- DBM Form	Item	2023 Utiliz
		PS cost)								706 as	MOOE	3,706,667,
										submitted by	со	779,074,
										CAAP to DBM	Total	4,485,741,

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MA)bjective/N		Components		Carlo		GOCC Submi	ission	GCG Valida	ation	Supporting	Rei	marks
Ob	jectiv	ve/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents		
												Item	2023 Utilization
4												MOOE	97.37%
								7 - 1				со	68.10%
												Total	90.61%
			Subtotal	33%				33.00%		33.00%			
sc	04	Established Qua	ality Managemer	nt Syste	m								
SM	М 6	ISO Certification	Actual Accomplishment	5%	All or Nothing	ISO 9001:2015 Certification	No ISO 9001:2015 Certification	0.00%	No ISO Certification	0.00%	N/A	preparatory ISO certificat As of 31 De procurement certifying be started. Due to the la Certificate ir rated zero fo	resented the list of activities for the ion made in 2023, the for the third-party ody had still not cook of an actual ISC in 2023, CAAP in this measure due or Nothing" ratin
		Percentage of Lice	ense and Registrati	on Applic	cations Proce	ssed Within the Pre	escribed Period						
		a. Pilot Licenses											
SM	M 7	New	No. of issuances made within the prescribed time / No. of applications	2%	Actual / Target 0% = if less than 80%	100%	94.95% (3,572 out of 3,762)	1.92%	94.95%	1.90%	CAAP CY 2023 ARTA Airmen License Report	Processed within the Prescribe d Time 3,572	Total applicatio % ns 3,762 94.95%

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		Components				GOCC Subm	ission	GCG Valid	ation	Supporting		Remarks	
bje	ective/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents			
	Renewed		2%		100%	94.79% (1,545 out of 1,630)	1.90%	94.79%	1.90%		Processe d within the Prescribe d Time	Total applicatio ns	%
											1,545	1,630	94.79%
	b. Mechanic Lice	enses											
	New	No. of issuances	2%	Actual / Target	100%	97.15% (2,284 out of 2,351)	1.94%	97.15%	1.94%	CAAP CY 2023 ARTA	Processe d within the Prescribe d Time	Total application s	%
		made within the prescribed		0% = if						Airmen License Report	2,284	2,351	97.1
	Renewed	time / No. of applications	2%	80%	100%	94.46% (1,465 out of 1,551)	1.89%	94.46%	1.89%		Processe d within the Prescribe d Time	Total applicatio ns	%
											1,465	1551	94.46
	c. Aircraft Certifi	cate of Registration ((COR)										
	New	No. of issuances made within the prescribed	2%	Actual / Target 0% = if	100%	92% (138 out of 150)	1.84%	92.00%	1.84%	CAAP 2023 Accomplishme nt Report on Approved	Processe d within the Prescribe d Time	Total application s	•
		time / No. of applications		less than 80%						Applications for Aircraft	138	150	92.

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		Components				GOCC Submi	ission	GCG Validat	tion	Supporting		Remarks	
Objecti	ive/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents			
	Renewed		2%		100%	98.82 % (922 out of 933)	1.98%	98.82%	1.98%	Certificate of Registration	Processe d within the Prescribe d Time	Total application s	% 98.82%
SM 8	Percentage Implementation of IT Network Infrastructure at Various CAAP Airports	Actual Accomplishment	5%	Actual / Target	Percentage Completion based on Contract	The opening of the bids was held on 20 December 2023.	3.00%	No contract awarded	0.00%	- Project Briefer detailing events that transpired as regards the IT Network Infrastructure at Various CAAP Airports	postponed detailed de of Refer included the airports compreher and metici accuracy. discussion security ethe decairport exadditional coordinative delay. As of 31 D no awardi evaluation yearend intention corto measi progressi since the	g process in by CAAP of evelopment of ence. This orough inspect and CAAP asive technical allous planning. So with network xperts further sion-making. Pansions also analysis on, contribution was ongo which implied the 2023 terms the actual could not project did not given a rating ure in 2023.	tue to the the Terms process ctions of 15 offices, il research, g to ensure bilaborative and cyber r informed Ongoing o required a and ng to the set. The bid ing as of s that the get, which is ual project to be applied start at all.
SO 5	Maintain Safe ar	nd Efficient Mar	ageme	nt of Airsp	ace								
SM 9	Number of Accomplished Steps for the Transition from Aeronautical Information System (AIS) to	Cumulative Number	5%	Actual / Target	Regional implementation status of AIM (11 out of 18 steps completed)	Regional implementation status of AIM (11 out of 18 steps completed): Step P-18:	5.00%	10 out of 18 Accomplished Steps	4.55%	- AISD Regional Implementation Status of AIM Performance Expectations as of June 2023	complete F goal was to with 27 Air (Tower a streamline agreement (LOAs) with	orget for the Position Step 7 to establish form. Traffic Service (and Tower/Ap the establishms or letters of these 27 ATS fraccoordination in	(PS-07). The all agreements (ATS) facilities proach). To ent of formation of agreement acilities, CAAF

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Objective/Measure		Components				GOCC Submi	ssion	GCG Validat	ion	Supporting	Remarks
Objectiv	e/Measure		Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents	
	Aeronautical Information Management (AIM) System					Agreement with Data Originators.				-PH AIS-AIM Implementation Plan - Letters of Agreements with Air Traffic Service facilities	first quarter of 2023, CAAP targeted 8 ATS facilities (comprising 5 Towers and 3 Tower Approach facilities). Subsequently, in the second quarter, CAAP focused on 6 facilities, followed by 7 facilities in the third quarter, and finally 6 facilities in the fourth quarter. The LOA for the final 6 ATS facilities in the fourth quarter of 2023 was coordinated and agreed upon by the respective chiefs of the facilities and Division heads before 31 December 2023. This signifies that all relevant parties agree with the contents of the established LOA. Nevertheless, as a necessary protocol for this document, it also needs to be signed by managers. Such signatures were not acquired.
											CAAP presented the AISD Regional Implementation Status of AIM Performance Expectations as of June 2023. This document, validated and produced by ICAO, outlines the progress of countries in the Asia Pacific Region (and some other countries) in implementing the 18 position steps. According to the June 2023 report, the Phillippines had only achieved 50% completion for PS-07. CAAP noted that the updates made after June 2023 would be discussed and resolved during the forthcoming 19th AIS to AIM Implementation Task Force meeting in June 2024
											Examining the entire process of completing PS-07, it is crucial to note that the output document is a LOA with ATS facilities. CAAP aimed to complete 2' LOAs for 2023 but fell short by 6 LOA due to the inability to secure signature for these 6 ATS facilities. In terms of the protocol for the finalization of LOAs, PS 07 was not fully completed during the year.
											Based on the strict criteria, CAAP did no 100% complete PS-07 so the step accomplished remain at 10 out 18 as o 2023 yearend.
SM 10	Implementation of AIDC (ATS Interfacility	Actual Accomplishment	5%	Actual / Target	Completion of the AIDC implementation between Manila	100% Full implementatio n of AIDC between	5.00%	100% Full implementatio n of AIDC between	5.00%	- Signed Letter of Agreement	The Letter of Agreemen formalizing the AIDC implementation was signed by Michael Galvan, Ai

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			Components				GOCC Subm	nission	GCG Valida	tion	Supporting	Remarks
	Object	ive/Measure	Formula	Weight	Rating System	Target	Actual	Rating	Actual	Rating	Documents	
		Data Link Communications) with all adjacent FIRs				and Oakland ACC	Oakland and Manila		Oakland and Manila			Traffic Manager of Oakland Air Route Traffic Control Center on 16 October 2023 and by Anna Joy Papag, Division Chief III of Enroute Control Unit of CAAP on 16 November 2023
			Sub-total	32%				22.81%		21.00%		
LEARNING AND GROWTH	SM 11	Percentage of Employees Meeting Required Competencies	Actual Accomplishment	5%	All or Nothing	Board-Approved Competency Model	No Board- Approved Competency Model	0.00%	Not Accomplished	0.00%	- CAAP Consolidated 2023 Whole Year Monitoring Report	The target was still not accomplished due to the three failed biddings in 2023. CAAP restarted the bidding process to acquire a third-party contractor to craft the Competency Framework in early 2024.
			Sub-total	5%				0.00%		0.00%		
			TOTAL	100%				76.27%		69.00%		
		EXC	LUDED WEIGHT	10%			(76.27% ÷	90%)	(69.00% ÷ 9	90%)		
16		REI	MAINING TOTAL	90%				84.74%		76.67%		